

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the application of:

Javier Marti SENDRA et al.

Serial Number: 10/524,273

Filed: August 11, 2005

**ATTN: Office of Initial Patent Examination's
Filing Receipt Corrections**

Confirmation No.: 1001

Art Unit: 2874

Attorney Docket No.: 027318-00007

For: COUPLING SYSTEM BETWEEN DIELECTRIC OPTICAL GUIDES AND PLANAR
PHOTONIC CRYSTAL GUIDES

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Date: September 19, 2006

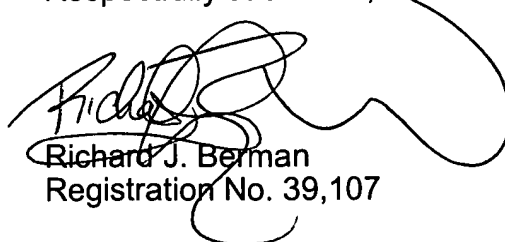
Sir:

Please supply the undersigned attorney with a corrected filing receipt of the above-identified application.

In reviewing the Official Filing Receipt, we noted an error in the county of the corresponding foreign application. In support of this request, enclosed is a copy of the Declaration, along with a copy of the filing receipt with the correction highlighted in yellow.

In the event any fees are due with respect to this paper, please charge our Deposit Account No. 01-2300.

Respectfully submitted,



Richard J. Berman
Registration No. 39,107

Customer No. 004372
Arent Fox PLLC
1050 Connecticut Avenue
Suite 400
Washington, D.C. 20036-5339
Tel (202) 857-6000
Fax (202) 638-4810

CMM:cs

Enclosure(s): Official Filing Receipt (copy)
Declaration for U.S. Patent Application (copy)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/524,273	08/11/2005	2874	515	027318-00007	6	6	1

CONFIRMATION NO. 1001

004372
 ARENT FOX PLLC
 1050 CONNECTICUT AVENUE, N.W.
 SUITE 400
 WASHINGTON, DC 20036

CORRECTED FILING RECEIPT



OC000000019480792

Date Mailed: 07/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Javier Marti Sendra, Valencia, SPAIN;
 Alejandro Martinez Abietar, Valencia, SPAIN;
 Pablo Sanchis Kilders, Valencia, SPAIN;

Power of Attorney: The patent practitioners associated with Customer Number 4372.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/ES03/00421 08/13/2003

Foreign Applications

SPAIN
~~POLAND~~ 200201931 08/14/2002

If Required, Foreign Filing License Granted: 07/03/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/524,273**

Projected Publication Date: 07/13/2006

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****



Title

Coupling system between dielectric optical guides and planar photonic crystal guides

Preliminary Class

385

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

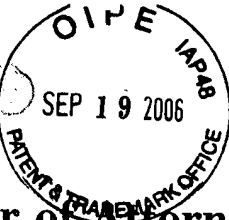
This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Docket Number 027318-00007



ARENT FOX PLLC

Declaration and Power of Attorney for U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter, which is claimed and for which a patent is sought on the invention entitled

(Insert Title) COUPLING SYSTEM BETWEEN DIELECTRIC OPTICAL GUIDES AND PLANAR PHOTONIC CRYSTAL GUIDES

the specification of which is attached hereto unless the following box is checked:

☒ was filed on August 13, 2003 As PCT International Application
Number PCT/ES2003/000421 and was amended on _____
and/or was filed on _____ As U.S. Patent Application
Number _____ and was amended on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications)	<u>200201931</u> (Number)	<u>Spain</u> (Country)	<u>14/August/2002</u> (Day/Month/Year Filed)	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35, U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	(Application Serial Number)	(Filing Date)	(Status) (patented, pending, abandoned)
	(Application Serial Number)	(Filing Date)	(Status) (patented, pending, abandoned)

Power of Attorney: I hereby appoint the firm of ARENT FOX PLLC, Customer Number **004372**, including at least the following registered practitioners, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith as follows:

Berman, Richard J., Reg. No. 39,107
Chesser, Wilburn, Reg. No. 41,668
Murphy, Christopher, Reg. No. 39,786

Cherdak, Erik, Reg. No. 39,936
Marmelstein, Charles M., Reg. No. 25,895
Oram, George E., Jr., Reg. No. 27,931

and other registered practitioners associated with Customer Number **004372**

Please direct all communications to the following address:

Customer Number 004372

ARENT FOX PLLC

1050 Connecticut Avenue, NW, Suite 400


Washington, DC 20036-5339

Telephone Number (202) 857-6000; Facsimile Number (202) 638-4810

The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from the undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Javier MARTI SENDRA

Inventor's signature 

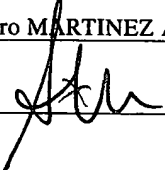
20th May, 2005
Date

Residence Valencia, Spain

Citizenship Spain

Post Office Address Universidad Politecnica de Valencia, CTT-Camino de Vera s/n, Edificio 11y 12, Valencia 46022 Spain

Full name of second inventor Alejandro MARTINEZ ABIETAR

Inventor's signature 

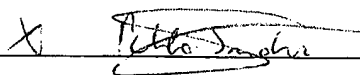
20th May, 2005
Date

Residence Valencia, Spain

Citizenship Spain

Post Office Address Universidad Politecnica de Valencia, CTT-Camino de Vera s/n, Edificio 11y 12, Valencia 46022 Spain

Full name of third inventor Pablo SANCHIS KILDERS

Inventor's signature 

20th May, 2005
Date

Residence Valencia, Spain

Citizenship Spain

Post Office Address Universidad Politecnica de Valencia, CTT-Camino de Vera s/n, Edificio 11y 12, Valencia 46022 Spain